Unexpected Products from the Reaction of 2,2,4,4- Tetramethylcyclobutane-1,3-dione with the Makosza Reagent

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Dedicated to Professor $M.$ Makosza on the occasion of his 65th birthday

Reaction of 2,2,4,4-tetramethylcyclobutane-1,3-dione (2) under phase-transfer-catalysis (PTC) conditions (CHCl3/aqueous NaOH) yielded a complex mixture of unexpected products (Scheme 2). From the organic phase, three ring-enlarged products $7 - 9$ with a cyclopentane-1,3-dione (cf. 7 and 9) or a cyclopentenone skeleton $(cf, 8)$ were isolated in low yield. After acidification of the aqueous phase, the oily residue was treated with CH_2N_2 , and methyl 3-oxopentanoate 12 and dimethyl 2-hydroxybutanedioate 13 were obtained in almost equal amounts. The structures of 8 and 9 were established by X-ray crystal-structure analysis ($Fig.$). Mechanisms for the formation of the products, initiated by nucleophilic attack of trichloromethanide ion and opening of the cyclobutane ring, are proposed in Schemes 3 and 4.

Introduction. – Recently, we described reactions of non-enolizable thioketones under *Makosza* conditions (two-phase system CHCl₃/NaOH, benzyl(triethyl)ammonium chloride (TEBA)) which resulted in the formation of 'gem-dichlorothiiranes' in good-to-excellent yields [1]. Two of the thioketones used in this study were 2,2,4,4 tetramethyl-3-thioxocyclobutanone $(1a)$ and the corresponding dithione 1b. Both thioketones are conveniently prepared by thionation of 2,2,4,4-tetramethylcyclobutane-1,3-dione (2) using P_2S_{10} [2]. It is worth mentioning that, in the case of 1a, under *Makosza* conditions, formation of 'gem-dichlorothiirane' was the fastest process. Only after a prolonged reaction time and desulfurization of the primarily formed spirothiirane was the $C=O$ group also involved in the reaction to give an isolable derivative 3 of a 'gem-dichlorooxirane'. This result prompted us to study the reaction of the parent dione 2 under the same conditions.

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In comparison with olefinic compounds, ketones have rarely been used in reactions with the *Makosza* reagent [3]. In the fundamental work by *Merz* and *Tomahogh*, the formation of α -chloro-carboxylic-acid derivatives was rationalized *via* intermediate gem-dichlorooxiranes [4]. In another study, starting with the sterically crowded ketone 4, *Greuter et al.* succeeded in the isolation of both 'gem-dichlorooxirane' 5 and α -chlorocarbonyl chloride 6 [5] (Scheme 1).

The formation of α -chlorocarbonyl chlorides found synthetic application, because these reactive intermediates can be intercepted with 1,2-diamines to give piperazin-2 ones in good yields [6]. In another experiment, 2,2,6,6-tetramethylpiperidin-4-one was used as a starting material, and, in this case, a ring contraction occurred leading to pyrrolidin-2-ones [7] [8]. This rather unexpected result was explained by formation of the corresponding 'gem-dichlorooxirane' with subsequent ring opening involving the lone pair of the N-atom. Ring closure between the NH group and the carbonyl chloride function results in the formation of the five-membered lactam.

In all earlier papers $[4-8]$, a reaction pathway involving a trichloromethanide anion rather than dichlorocarbene was preferred.

Results and Discussion. $-$ Reactions of 2 with CHCl₃ under two-phase conditions were carried out according to the typical protocol described in [1]. After 2 h of vigorous stirring at room temperature, the organic and aqueous phases were separated. Chromatographic workup of the organic phase yielded three components. From the least polar fraction, 4,4-dichloro-2,2,5,5-tetramethylcyclopentane-1,3-dione (7) was obtained as colorless crystals (Scheme 2). Elemental and GC/MS analysis confirmed the molecular formula $C_9H_1C_2O_2$. The IR spectrum showed two C=O absorptions at 1790 and 1750 cm $^{-1}$, and in the ¹³C-NMR spectrum corresponding absorptions were found at 213.4 and 201.9 ppm. Two different Me₂C groups led to two *singlets* at 59.0 and 48.9 ppm and two *quadruplets* at 25.3 and 21.1 ppm. The ¹H-NMR spectrum showed only two singlets of Me groups at 1.43 and 1.37 ppm.

From the most polar fraction, a compound with molecular formula $C_{17}H_{25}ClO_4$ (elemental analysis, MS) was isolated as a colorless solid. Unexpectedly, this product showed three C=O absorptions in the IR as well as in the 13 C-NMR spectrum. In addition, two sp²-C atoms appeared as *singlets* at 146.1 and 128.8 ppm. The ¹H-NMR spectrum revealed the presence of three $Me₂C$ groups and one $Me₂CH$ group. These data suggested that two molecules of 2 and one CHCl₃ were involved in the formation of this product. The presence of an i-Pr group evidenced the ring opening of one molecule 2. The structure 8 was established by X-ray crystal-structure analysis (Fig.,a, and Table). The molecular structure shows a planar cyclopentenone ring. The

ester group is turned out of this plane, the corresponding dihedral angle $C(2)-O(1)-C(7)-C(8)$ being 77.2(2)°.

The third compound, isolated from the middle fraction, was obtained as pale-yellow crystals. Elemental analysis $(C_{10}H_{12}Cl_2O_2)$ showed again the presence of two Cl-atoms but one C-atom more than in 7. Two $C=O$ absorptions and two signals for olefinic Catoms appeared in the 13C-NMR spectrum. Taking all these data in account, we concluded that the formation of this product occurred with participation of one molecule of 2 but two molecules of CHCl₃. As the spectral data were not conclusive, structure 9 was again established by X-ray crystal-structure analysis (*Fig.,b,* and *Table*). The five-membered ring of this molecule is planar with the dichloromethylidene group in the same plane.

The total yield of products isolated from the organic phase was poor (24%). Therefore, we decided to work up the aqueous phase as well. After acidification with dilute H_2SO_4 , an oily layer was formed which was extracted with CH₂Cl₂. After evaporation of the solvent, the oily residue consisting of the carboxylic acids 10 and 11 was treated with CH_2N_2 . This yielded a mixture of the methyl esters 12²) and 13 (*Scheme 2*), which were separated chromatographically (16% yield each).

The formation of 10 is easily explained by a ring opening of 2 with OH $⁻$. Similar</sup> processes, leading to open-chain products 14, were observed with other nucleophilic reagents like amines [10], alcohols [10], dimethylsulfoxonium methanide [11], and organometallic reagents [12] (Scheme 3). The unexpected dicarboxylic acid 11, isolated as the dimethyl ester 13, is a secondary product formed by Cl_3C : addition to the oxo group of 10. Subsequent hydrolysis of the :CCl₃ group leads to the second carboxy group. Analogous reactions for the preparation of α -hydroxy-carboxylic acids from ketones under PTC conditions have been reported by Merz and Tomahogh [4].

²) Treatment of **2** with CHCl₃, contaminated with ca. 2% MeOH, under the two-phase conditions and distillation of the crude organic phase also gave 12.

Figure. ORTEP Plots [9] of the molecular structures a) of ester 8 and b) of dione 9 (50% probability ellipsoids, H-atoms with arbitrary displacement parameters)

It is well known that in the $M\alpha$ kosza system Cl₂C: is in an equilibrium with Cl₃C^{$\overline{}$} (cf., e.g., [13]). Depending upon whether an electron-rich or an electron-poor reaction partner is used, the electrophilic Cl_2C : or the nucleophilic ClC : ion is involved in the reaction. Generally, ketones react as electrophilic components, and, therefore, we propose that the conversions of 2 are initiated by nucleophilic attack of Cl_3C . The reaction leading to the ring-enlarged compound 7 can be explained as a two-step

	8	9
Crystallized from	MeOH	MeOH
Empirical formula	$C_{17}H_{25}ClO_4$	$C_{10}H_{12}Cl_2O_2$
Formula weight $\lceil \text{g mol}^{-1} \rceil$	328.83	235.11
Crystal color, habit	colorless, irregular prism	colorless, prism
Crystal dimensions [mm]	$0.43 \times 0.45 \times 0.50$	$0.18 \times 0.33 \times 0.40$
Temp. $[K]$	173(1)	173(1)
Crystal system	monoclinic	triclinic
Space group	$P2_1/n$	ΡĪ
Z	$\overline{4}$	\overline{c}
Reflections for cell determination	25	25
20 Range for cell determination $\lceil \cdot \rceil$	$39 - 40$	$38 - 40$
Unit cell parameters		
$a [\AA]$	5.914(2)	8.180(1)
$b \overline{[A]}$	23.918(2)	12.444(2)
$c \overline{[A]}$	12.848(1)	5.9047(9)
α [\degree]	90	99.32(1)
β [\degree]	100.45(1)	109.87(1)
γ [\degree]	90	99.13(1)
$V[\AA^3]$	1787.2(5)	542.8(2)
$D_{\rm r}$ [g cm ⁻³]	1.222	1.438
μ (Mo K_a) [mm ⁻¹]	0.228	0.568
Scan type	$\omega/2\theta$	$\omega/2\theta$
$2\theta_{\text{(max)}}$ [°]	55	55
Transmission factors (min; max)		0.926; 1.000
Total reflections measured	4612	2669
Symmetry independent reflections	4109	2497
Reflections used $[I>2\sigma(I)]$	3278	2151
Parameters refined	300	176
Final R	0.0380	0.0318
w R (w = $[\sigma^2(F_0) + (0.005 F_0)^2]^{-1}$)	0.0370	0.0341
Goodness of fit	2.033	1.999
Secondary extinction coefficient	$1.0(1) \times 10^{-6}$	$4.1(4) \times 10^{-6}$
Final $\Delta_{\text{max}}/\sigma$	0.0002	0.0002
$\Delta\rho$ (max; min) [e Å ⁻³]	$0.27:-0.25$	$0.33:-0.23$

Table. Crystallographic Data for Compounds 8 and 9

process in which the adduct A' undergoes a ring opening to give an intermediate anion B' (Scheme 4). Subsequent ring closure occurs via elimination of Cl⁻. Another explanation is a concerted ring enlargement of A'.

More complicated, however, is the interpretation of the mechanisms leading to 8 and 9. The structure 8 indicates that the anionic species C reacts as a nucleophile with 2

via ring opening according to the route depicted in Scheme 3. The mechanism of the formation of the proposed intermediate C is still unknown. Similarly, the pathway leading to 9 is not yet known. One conceivable interpretation is the assumption that basic hydrolysis of 7 gives trione **D**, which then is converted to 'gem-dichlorooxirane' E. After a deoxygenation of this oxirane3), the dichloroalkene is formed.

The characteristic feature of products $7-9$ is the presence of chlorine and a cyclopentane skeleton. We assume that processes leading to these species occur via initial nucleophilic attack of Cl_3C : at 2. Participation of Cl_2C : is rather unlikely, as, in this case, products with a preserved four-membered ring would be expected $(cf. [4-8])$. This conclusion is supported by an additional experiment carried out with 2 and PhHgCCl₃ (Seyferth reagent)⁴). In this case, Cl₂C: was generated thermally in the presence of 2, but, even after longer reaction times, no products resulting from a carbene reaction could be isolated.

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Experimental Part

1. General. See [17]. M.p.: in a capillary on a Büchi SMP-20 apparatus, uncorrected. IR spectra: Specord 75-IR instrument. ¹H-NMR spectra: in CDCl₃, *Tesla BS-476* (60 MHz) or *Bruker ARX-300* (300 MHz). ¹³C-NMR spectra: in CDCl₃, Bruker ARX-300 (75.5 MHz). MS: Finnigan MAT-90 or LKB-2091 (70 eV); CI-MS with

³) There is no clear indication how this reaction proceeds, but the involvement of $Cl₂Cl$: under elimination of Cl_2CO is rather likely (*cf.* [14]).

⁴) Seyferth reagents are known to generate on thermolysis dihalocarbenes, which can add to $C=O$ groups to form 'gem-dihalooxiranes' [15] [16].

NH3 . Elemental analyses were performed in analytical laboratories of the Organic Chemistry Institute of the University of Zurich and the Polish Academy of Sciences (CBMiM) in Łódź.

2. Starting Materials. The 2,2,4,4-tetramethylcyclobutane-1,3-dione (2) was prepared from 2-methylpropanoyl chloride and Et₃N in CH₂Cl₂ by a modified procedure based on the protocol of *Miller* and *Johnson* [18]. The sterically congested 2,2,5,5-tetramethylcyclopentanone and 2,2,6,6-tetramethylcyclohexanone were obtained by exhaustive methylation of the corresponding cycloalkanones according to [19]. Benzyl(triethyl)ammonium chloride (TEBA) was prepared from Et₃N and PhCH₂Cl as recommended by $Mgkosza$ [20], and trichloromethyl(phenyl)mercury was synthesized according to [21]. CHCl₃ was purified (removal of EtOH) by treatment with conc. H_2SO_4 and H_2O [22].

3. Reaction of 2 with $NaOH/CHCl₃/TEBA$. To a soln. of 2 (1.40 g, 10 mmol) and TEBA (200 mg, 0.88 mmol) in CHCl₃ (10 ml) in a round bottom flask, 10 ml of a 50% aq. soln. of NaOH were added. The twophase system was vigorously stirred, while the temp. was kept at 20° (water bath). The progress of the reaction was followed by recording ¹H-NMR spectra of the organic phase⁵). After ca. 2 h, 2 (s at 1.25 ppm) was consumed completely. The mixture was diluted with $H_2O(100 \text{ ml})$ and extracted with $CH_2Cl_2(3 \times 30 \text{ ml})$, the combined org. phases were dried (CaCl₂), filtered, and evaporated. The aq. phase was acidified with conc. H_2SO_4 and saturated with NaCl. The oily layer was extracted with CH_2Cl_2 (3 \times 30 ml). After usual workup and evaporation, the oily residue obtained was treated with a soln. of CH_2N_2 in Et₂O⁶).

The mixtures of products from the org. and aq. phases were separated chromatographically $(SiO₂; hexane)$ with increasing amounts of CH_2Cl_2). Three products, **7–9**, were obtained from the org. phase and two esters, 12 and 13, from the aq. phase.

4,4-Dichloro-2,2,5,5-tetramethylcyclopentane-1,3-dione (7). Isolated as the first fraction after chromatography of the org. phase (hexane/CH₂Cl₂ 6:4). Yield: 223 mg (10%). Colorless crystals. M.p. $34-35^{\circ}$ (pentane). IR (neat): 2950s, 1830m, 1790vs, 1760vs, 1720s, 1660m, 1560m, 1460s, 1370m, 1230s (br.), 1120m, 1100m, 1000m, 930m, 880m, 820w. ¹H-NMR: 1.43, 1.37 (2s, 4 Me). ¹³C-NMR: 213.4, 201.9 (2s, 2 C=O); 92.6 (s, CCl₂); 59.0, 48.7 $(2s, C(2), C(5))$; 25.3, 21.1 $(2q, 4 \text{ Me})$. EI-MS (70 eV) : 223 $(1, M^{+})$, 205 (14) , 203 (43) , 175 (36) , 170 (14) , 133 (24), 99 (13), 96 (12), 81 (81), 72 (29), 70 (99), 39 (100). Anal. calc. for C₉H₁₂Cl₂O₂ (223.10): C 48.45, H 5.42, Cl 31.78; found: C 48.69, H 5.28, Cl 31.59.

4-(Dichloromethylidene)-2,2,5,5-tetramethylcyclopentane-1,3-dione (9). Isolated as the second fraction after chromatography of the org. phase (hexane/CH₂Cl₂ 45/55). Yield: 94 mg (4%). Pale-yellow crystals. M.p. $82-83^\circ$ (MeOH). IR (KBr): 2950m, 1755m (C=O), 1705vs (C=O), 1555s (C=C), 1450m, 1270m, 1100s, 1000w, 895m, 850w, 700w. ¹H-NMR: 1.56, 1.23 (2s, 4 Me). ¹³C-NMR: 218.3, 200.7 (2s, 2 C=O); 138.1, 133.3 (2s, $C=CCl₂$); 52.9, 52.2 (2s, C(2), C(5)); 22.8, 21.9 (2q, 4 Me). CI-MS: 252 (100, $[M+17]^+$). EI-MS: 239 (< 1), 237(0.7), 235 (1, M⁺·), 199(100), 166(21), 164(33), 138(53), 136(91), 85(15), 70(36). Anal. calc. for $C_{10}H_{12}Cl_2O_2$ (235.11): C 51.08, H 5.14, Cl 30.16; found: C 51.14, H 5.21, Cl 29.78.

2-Chloro-3,3,5,5-tetramethyl-4-oxocyclopent-1-enyl 2,2,4-Trimethyl-3-oxopentanoate (8). Isolated as the most-polar fraction after chromatography of the org. phase (hexane/CH₂Cl₂ 15/85). Yield: 164 mg (10%). Colorless crystals. M.p. 73 – 74° (MeOH). IR (KBr): 2970s, 1760vs (C=O), 1700s (C=O), 1680m (C=C), 1460 vs, 1270m, 1240s, 1140vs (C-O), 1105s, 1050w, 1010s, 900w. ¹H-NMR: 3.07 (sept., J = 4.5, Me₂CH); 1.53, 1.25, 1.17 (3s, 6 Me); 1.16 (d, J = 4.5, Me₂CH). ¹³C-NMR: 216.6 (s, C(4')=O); 211.9 (s, C(3)=O); 170.0 (s, $C(1)=O$; 146.1, 128.8 (2s, C=C); 56.5, 52.2, 50.7 (3s, C(2), C(1'), C(2')); 37.0 (d, C(4)); 22.9, 22.4, 22.2, 20.5 $(4q, 8 \text{ Me})$. EI-MS (15 eV) : 330 $(< 1, 328 \ (< 1, M⁺)$, 141(43), 71(100), 70(2). CI-MS: 346 (100, [M + $NH_4]^+$). Anal. calc. for $C_{17}H_{25}ClO_4$ (328.84): C 62.09, H 7.66, Cl 10.78; found: C 62.07, H 7.65, Cl 10.83.

Methyl 2,2,4-Trimethyl-3-oxopentanoate (12). Isolated by distillation of the methyl-ester mixture after workup of the aq. phase and purified by redistillation at 14 Torr. Yield: 277 mg (16%). Colorless liquid. B.p. 79 - $80^{\circ}/14$ Torr ([23]: b.p. 74.5–75°/10 Torr). ¹H-NMR: 3.72 (s, MeO); 2.83 (sept., $J = 6.5$, Me₂CH); 1.35 (s, 2 Me); 1.07 (d, $J = 6.5$, $Me₂CH$). ¹³C-NMR: 212.4 (s, C=O, ketone); 174.1 (s, C=O, ester); 56.0 (s, C(2)); 52.3 (q, MeO); 36.7 $(d, C(4))$; 21.8, 20.3 $(2q, 4$ Me).

Dimethyl 2-Hydroxy-2-isopropyl-3,3-dimethylbutane-1,4-dioate (13). Isolated after chromatography of the methylated products of the aq. phase as the more-polar fraction and purified by distillation at 0.15 Torr. Yield: 367 mg (16%). Colorless thick liquid. B.p. 62 – 64 $^{\circ}/0.15$ Torr. IR (neat): 3400m (br., OH), 2950s, 1730vs (C=O),

 $5)$ Ca. 0.5 ml of the CHCl₃ soln. was diluted with CH₂Cl₂, dried (CaCl₂), the solvents evaporated, and the 1 H-NMR spectrum measured in CDCl₃.

⁶) The ¹H-NMR analysis of the reaction mixture after 2 h displayed a 1:1 ratio of the esters 12 and 13, whereas, after 3 h, the ratio was determined as 1:3 (s corresponding to MeO at 3.72 and 3.78 ppm, resp.).

1480m, 1440m, 1260vs (br., C-O), 1160s, 1050m, 980m. ¹H-NMR: 4.20 (br. s, OH); 3.78, 3.70 (2s, 2 MeO); 2.35 $(sept. J = 6.5, \text{Me}_2\text{CH})$; 1.35 (br. s, 2 Me); 0.95, 0.83 (2d, $J = 6.5, Me_2\text{CH}$). ¹³C-NMR: 177.6, 175.4 (2s, 2 C=O); 82.9 (s, C(2)); 52.3 (q, 2 MeO); 49.1 (s, C(3)); 32.9 (d, Me₂CH); 23.2, 21.5, 19.1, 17.2 (4q, 4 Me). CI-MS: 250 $(100, [M + NH_4]^+)$, 233 (70, $[M+1]^+$), 215 (12). Anal. calc. for $C_{11}H_{20}O_5$ (232.27): C 56.88, H 8.68; found: C 56.68, H 8.60.

4. Reactions of 2 with NaOH/CHCl₃/TEBA in the Presence of MeOH. To a soln. of 2 (700 mg, 5 mmol) and TEBA (100 mg, 0.44 mmol) in CHCl₃ containing 10 vol.-% of MeOH (10 ml), 10 ml of 50% aq. soln. of NaOH were added. The two-phase mixture was stirred vigorously in a water bath $(T < 20^{\circ})$. The exothermic reaction started immediately. After 30 min stirring, the mixture was diluted with $H₂O$ (50 ml) and extracted with CH₂Cl₂ $(3 \times 30 \text{ ml})$. The org. phases were collected, dried $(CaCl₂)$, filtered, and evaporated. The remaining colorless oil was distilled at $79-80^{\circ}/143$ Torr and identified as 12 by comparison of the ¹H-NMR and IR spectra. Yield: 710 mg $(83\%)^7$). After acidification of the aq. phase, ca. 15 mg of an unknown, oily material was obtained.

5. Attempted Reactions of Other Tetramethylcycloalkanones with CHCl₃/NaOH/TEBA. According to the procedure described for the reaction with $\hat{2}$ (Chapt. 3), 2,2,5,5-tetramethylcyclopentanone and 2,2,6,6tetramethylcyclohexanone were treated in the two-phase system. In both cases, no reaction was observed, and, after 4 h of stirring and typical workup, unchanged starting material was recovered.

6. Attempted Reaction of 2 with Seyferth's Reagent. A soln. of $2(280 \text{ mg}, 2.0 \text{ mmol})$ in abs. DME (5 ml) was added to a soln. of NaI (330 mg, 2.2 mmol) in abs. DME (3 ml). The stirred mixture was heated under Ar to $80 85^\circ$ (oil bath). After 48 h, the soln. was cooled to r.t. and, after dilution with CH₂Cl₂ (20 ml), was washed 3 times with H₂O to remove inorg. salts and DME. After typical workup, the org. phase afforded a solid residue, which was purified by chromatography ($SiO₂$). The only identified material was the recovered 2 (ca. 15%).

7. X-Ray Crystal-Structure Analysis of 8 and 9 (see Table and Fig.)⁸). All measurements were made on a *Rigaku AFC5R* diffractometer using graphite-monochromated Mo K_a radiation (λ 0.71069 Å) and a 12-kW rotating-anode generator. The $\omega/2\theta$ scan mode was employed for data collection. The intensities were corrected for *Lorentz* and polarization effects and, in the case of 9, an empirical absorption correction was applied [25]. Data collection and refinement parameters are given in the Table, and views of the molecules are shown in the Figure. The structures were solved by Patterson methods using SHELXS86 [26], which revealed the positions of the Cl-atoms. All remaining non-H-atoms were located in a *Fourier* expansion of the *Patterson* solution. The non-H-atoms were refined anisotropically. All of the H-atoms were located in difference-electron-density maps, and their positions were allowed to refine together with individual isotropic displacement parameters. Refinement of the structures was carried out on F using full-matrix least-squares procedures, which minimized the function Σw ($|F_{o}|-|F_{c}|^{2}$)². Corrections for secondary extinction were applied. Neutral-atom scattering factors for non-H-atoms were taken from [27 a] and the scattering factors for H-atoms from [28]. Anomalous dispersion effects were included in F_{calc} [29]; the values for f' and f'' were those of [27 b]. All calculations were performed using the TEXSAN crystallographic software package [30].

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⁷⁾ The same procedure with EtOH instead of MeOH afforded the corresponding ethyl ester, which was identified spectroscopically and by comparison with known data. B.p. $90 - 94^{\circ}/15$ Torr ([24]: b.p. $94.5 -$ 95.5°/18 Torr).

⁸⁾ Crystallographic data (excluding structure factors) for the structures reported in this paper have been deposited with the Cambridge Crystallographic Data Centre as supplementary publications No. CCDC-119347 and 119348 for 8 and 9, respectively. Copies of the data can be obtained, free of charge, on application to the CCDC, 12 Union Road, Cambridge CB2 1EZ, U.K. (fax: $+44-(0)1223-336033$ or email: deposit@ccdc.cam.ac.uk).

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